04-13-04

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SERIAL NO. 10/629,021

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Ye Application of:

Yasuhiko (nmi) Aoki, et al.

Serial No .:

10/629,021

Filing Date:

July 28, 2003

Group Art No.:

2643

Title:

Optical Network with Sub-Band Rejection and Bypass

Commissioner For Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Certificate of Mailing by
Express Mail No. EV 324643277 US
I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" under 37. C.F.R. 1.10 on the date shown below, and addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Name: Willie Or

Date: April 12, 2004

# INFORMATION DISCLOSURE STATEMENT

Under 37 C.F.R. §§ 1.56, .97, and .98, Applicants respectfully request that the references listed in the attached PTO-1449 form be considered and cited in the examination of the Application. The present Application was filed after June 30, 2003. Therefore, under the July 11, 2003, waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office, copies of U.S. Patents and U.S. Patent Application Publications listed in the attached PTO-1449 form are not enclosed. Copies of all other references are enclosed for the convenience of the Examiner.

ATTORNEY DOCKET O. 064731.0332

# **REMARKS**

Pursuant to 37 C.F.R. § 1.97(b)(3), Applicants believe this Information Disclosure Statement has been filed before the mailing date of the first Official Action. Therefore, Applicants believe no fee is due. However, if a fee is required, the Commissioner is hereby authorized to charge any fees or credit any overpayments to Deposit Account No. 02-0384 of Baker Botts L.L.P.

Respectfully submitted,

BAKER BOTTS L.L.P.

Attorneys for Applicants

Brian W. Oaks Reg. No. 44,981

Date: April 12, 2004

**Correspondence Address:** 

Baker Botts L.L.P. 2001 Ross Avenue, 6th Floor Dallas, Texas 75201-2980 (214) 953-6986

**Customer Number** 

05073

PTO-	1	449	

Application No. 10/629,021

Applicant(s)

Yasuhiko (nmi) Aoki et al.

Information Disclosure Citation

P E In an Application

Docket Number 064731.0332

Group Art Unit 2643

Filing Date
July 28, 2003

APR 1 2 200

## U.S. PATENT DOCUMENTS

<b>CONT</b>	FRA	CUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE
	A	5,774,606	06/30/1998	de Barros et al.	385	24	05/17/1996
	В	2002/0039212	04/04/2002	Lee et al.	359	127	01/24/2001
	C	2002/0039213	04/04/2002	Duerksen	359	127	05/25/2001
	D	2002/0034358	03/21/2002	Persson	385	24	08/29/2001
	E						
	F						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	G	04319830	11/10/1992	JP	H04B	12/42	X	
_	Н	10135916	05/22/1998	JP	H04B	10/20	X	7
	I	1 063 803	12/27/2000	EP	Н04Ј	14/02	X	

	DOCUMENT (Including Author, Title, Source, and Pertinent Pages)	DATE
J	Notification of Transmittal of the International Search Report or the Declaration (PCT Rule 44.1) mailed March 6, 2004 (9 pages) re International Application No. PCT/US 03/29157	09/16//2003
К		
L		
М	·	
N		
0		
Р		

**EXAMINER** 

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.

U.S. PATENT AND TRADEMARK OFFICE